



Mobile Source Certification Fees Aftermarket Parts Invoicing Process

Tutorial

Mobile Source Certification Fee Regulation

- Legislative Authority: Senate Bill 854 (2018) and Senate Bill 85 (2019)
- Regulation Adopted April 2021, approved by OAL on 1/18/2022.
 - ✓ Fees at the time of application
 - ✓ 35 regulatory categories organized into six groups
 - ✓ ww2.arb.ca.gov/rulemaking/2021/mobilesourcefee2021
- Effective Date April 1, 2022

MS Fee Invoicing and Payment Process

Step 1: FI\$Cal Account

Step 2: Invoicing Process

Step 3: Payment Process

Step 4: Submittal of Application(s) with Final Invoice

For more details, please refer to the Overview Workshop presented on March 8th, 2022

<https://ww2.arb.ca.gov/mobile-source-certification-and-compliance-fee-regulation-meetings-workshops>

Step 2: Invoicing Process

- Create a draft invoice
- E-mail draft invoice to MSCertFees@arb.ca.gov
 - ✓ E-mail Title: "MSF New Invoice Request (AMP)"
 - ✓ E-mail each draft invoice in a SEPARATE e-mail
- CARB staff creates and e-mails back a Final invoice with a unique invoice number to include with your payment information.

Creating a Draft Invoice Aftermarket Parts Exemption Group

Select the Aftermarket Draft Invoice Form

Mobile Source Certification and Compliance Fee Regulation: Meetings & Workshops

CONTACT

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Phone (800) 242-4450

The Mobile Source Certification and Compliance Fee regulatory effort was initiated in response to new regulatory authority. SB 854 (2018) allowed CARB to adopt a schedule of fees to cover all or a portion of the State Board's reasonable costs. AB 85 (2019) authorized CARB to adopt a schedule of fees to recover reasonable costs from on-road vehicles and engines, removing the fee cap in HS Code 43019 for existing on-road vehicle and engine fees. The Mobile Source Certification Fee Regulation was approved by the Board in April 2021 and will be implemented beginning April 1, 2022. The regulatory support documents for the Mobile Source Certification and Compliance Fee regulation are available on the [Rulemaking Activity](#) web page. This page summarizes the regulatory activity, outreach, and other activities for the implementation of the Mobile Source Certification and Compliance Fees. For past regulatory activity [go here](#).

If you would like to participate in future meetings, or get future workgroup announcements, subscribe to the [Mobile Source Certification and Compliance Fee Regulation Topic](#). For general questions on this regulation, interested parties may contact [Kathleen Mead](#).

On April 1, 2022, new and modified mobile source certification and compliance fees (MS Fees) will be due upon submittal of the application for mobile source certification. [Complete](#) applications for MY 23 on-road and off-road engines/vehicles/equipment received prior to April 1, 2022, are not subject to the MS fees. Based on current practices, on-road and off-road applications will undergo initial staff review to verify they are complete. For those applications received by CARB before April 1, 2022 and deemed incomplete, MS fees will be required before further processing occurs. Determination of completeness should be done within 30 days of application submittal.

Mobile Source Fee Implementation Resources (Currently Not Available, Updated Soon)

How do I pay my fees?

Focused Grouped Category Tutorials:

[On-Road](#) [Off-Road/Evaporative](#) [Aftermarket](#) [DECS/At-Berth](#)

Draft Invoice Forms:

[On-Road](#) [Off-Road](#) [Evaporative](#) [Aftermarket](#) [DECS](#) [At-Berth](#)

Mobile Source Fee Implementation Workshops

Implementation workshops will be held in March 2022. The March workshop/training will provide the manufacturers and fee payers with the invoice and payment protocols by which MS Fees can be submitted and processed. All manufacturers subject to MS Fees should attend the March 8th workshop in addition to the focused workgroup dedicated to their MS Fee certification category. Please attend or review the workshop materials before submitting invoices and paying fees to prevent delays in processing your payment and review of your application. More information will be available soon. To receive a notice for this effort, please subscribe to the [Mobile Source Certification and Compliance Fee Regulation Topic](#).



Aftermarket Part Draft Invoice

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						
21						
22						
23						
24						
25						
26						
27						
28						
29						
30						
31						
32						
33						
34						
35						
36						
37						
38						
39						
40						

Total Due \$0

I, , attest that any information provided is true, accurate, and complete.

Responsible Party Signature Here

Company Information

Mobile Source Certification and Compliance Fee Payment Form For Aftermarket Parts Calendar Years 2022 and Subsequent Applications Only		
Invoice Number: For CARB's Use Only		Invoice Date: For CARB's Use Only
Company Information (input by manufacturer)		
Company Name	}	Use the company name, company address, and contact name and information associated with the application(s)
Street Address		
City, State, Zip		
Country		
Contact Name		
Contact Phone Number		
Contact E-mail		
FI\$Cal Account Number		


Company Information

Mobile Source Certification and Compliance Fee Payment Form For Aftermarket Parts Calendar Years 2022 and Subsequent Applications Only	
Invoice Number: For CARB's Use Only	Invoice Date: For CARB's Use Only
Company Information (input by manufacturer)	
Company Name	Use the FI\$Cal account number supplied to you by CARB. The parent company name, address, and payment contact may be different in the FI\$Cal account than in the application contact.
Street Address	
City, State, Zip	
Country	
Contact Name	
Contact Phone Number	
Contact E-mail	
FI\$Cal Account Number	



Application Information

Multiple applications within the Aftermarket Parts Exemption Group can be on one form.

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

Each row represents ONE "AMP Exemption Group" application

Note: For Aftermarket Catalytic Converters, each row equates to the vehicle application list submitted to CARB identifying the intended vehicle coverage for the catalytic converter, according to section (e) of "California Evaluation Procedures for New Aftermarket Catalytic Converters."

Application Information

Product Description or Calendar Year

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	PTU Intercooler Kit 2012-2020 Honda Accord/Civic NA Gas PC Category V	2022				
2						
3						

Product Description:

Use a brief description that is associated with each application that you will be submitting to AMP.

- Suggested items to include: [Device Name] [Vehicle Model Year Coverage] [Make and Model(s)] [Engine Aspiration] [Fuel Type] [Weight Class] [Category Information]
 - ✓ Visit <https://ww2.arb.ca.gov/manufacturers-aftermarket-parts> for details about category information.

Calendar Year:

Provide the year in which the application is submitted (2022).

Application Information

Unique Application Identifier

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application) W_XXX_Y_ZZ	Category Type (drop down)	Fee Type (drop down)	Amount
1						
2						
3						

Unique Application Identifier:

Generate your identifier using identical formatting.

- W ≡ EO code to determine the type of EO series (B, C, D, or K)
- XXX ≡ three-digit manufacturer code according to existing EOs
 - ✓ Three-letter abbreviation of company name for new manufacturers
- Y ≡ calendar year submitted (N = 2022)
- ZZ ≡ n if this is the nth [W]-series application you're submitting in calendar year Y
 - ✓ Two-digit number
 - ✓ Restarts to 01 when Y changes

Application Information

Unique Application Identifier

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1			D_310_N_24			
2						
3						

Unique Application Identifier:

E.g., for a manufacturer with existing EOs submitting their first invoice,

- D_310_N_24
 - ✓ D ≡ EO code for D-series
 - ✓ 310 ≡ three-digit manufacturer code for D-Series EOs
 - ✓ N ≡ 2022
 - ✓ 24 ≡ 24th overall D-series application submitted to AMP staff since January 1, 2022

Application Information

Unique Application Identifier

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1			K_PTU_N_01			
2						
3						

Unique Application Identifier:

E.g., for a new manufacturer named Performance Technologies Unlimited submitting their first invoice,

- K_PTU_N_01
 - ✓ K = EO code for K-series
 - ✓ PTU = three-letter abbreviation of company name
 - ✓ N = 2022
 - ✓ 01 = first application the new manufacturer is submitting

Application Information

Category Type

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1						
2						
3						
4						
5						
6						
7						
8						

Drop Down menu:

Over the next few slides, we will cover each of these eight Category Types. The definitions of each Category Type and Fee Type can be found in Title 13 section 2901 and section 2909.

Aftermarket Part Exemption Group - Category Types

Aftermarket catalytic converter	Alternative fuel retrofit system
Aftermarket diesel particulate filter	Alternative fuel retrofit system extension
Aftermarket fuel tank	Experimental permit
Aftermarket part – general	Motorcycle critical emission control part

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Aftermarket catalytic converter		
2					Base	
3					Small Business	
4					Aftermarket catalytic converter reduced cost application	

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the Fee Type.

Aftermarket Catalytic Converter Category and Fee Types

- Subject to the requirements in Title 13, Division 3, Chapter 4, Article 2 or Title 13, Division 3, Chapter 9, Article 7
- 3 Fee Types
 - ✓ Base
 - ✓ Small Business
 - ✓ Aftermarket Catalytic Converter Reduced Cost Application

Fee Type Base

- Applicable to all applicants that:
 - Are seeking certification or evaluation, and
 - Do not qualify for a reduced cost certification fee, including:
 - ✓ Small Business.
 - ✓ Category I or Category VIII.
 - ✓ Zero-Emission.
 - ✓ Carry-Over.
 - ✓ Aftermarket Catalytic Converter Reduced Cost Application.

Fee Type

Small Business

- Defined in California Government Code section 11346.3, subdivision (b)(4)(B) with the following additional conditions:
- A business entity is independently owned and operated if:
 - ✓ Ownership interests, management and operation are not subject to control, restriction, modification or limitation by an outside source, individual, or another business entity.

Fee Type

Small Business

- An applicant business is not independently owned and operated if evidence exists of either of the following:
 - ✓ An outside person or business concern owns or controls, or has the power to control, fifty percent (50%) or more of the voting stock, or
 - ✓ One or more business owners, general partners, directors, officers or members of an outside business concern controls or has the power to control or influence the day-to-day operations of the applicant business, board of directors, and/or owner(s).

How do I know I am a small business?

- Your company is a small business if it:
 - ✓ Is independently owned and operated.
 - ✓ Is not dominant in its field of operation.
 - ✓ Has fewer than 100 employees.

Fee Type

Aftermarket Catalytic Converter Reduced Cost Application

- Identical to the previous model year range and emission category application that resulted in the issuance of a certification except for one or more of the following:
 - ✓ Newer model year
 - ✓ Test Group name
 - ✓ Model name
 - ✓ Part number
 - ✓ Marketing designation

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Aftermarket diesel particulate filter		
2					Base	
3					Small Business	
4						

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the Fee Type.

Aftermarket Diesel Particulate Filter Category and Fee Types

- Subject to the requirements in Title 13, Division 3, Chapter 4, Article 2
- 2 Fee Types
 - ✓ Base (slide 19)
 - ✓ Small Business (slides 20-22)

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Aftermarket part – general		
2					Base	
3					Small Business	
4					Category I or Category VIII	

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the Fee Type.

Aftermarket Part – General Category and Fee Types

- Subject to the requirements in Title 13, Division 3, Chapter 4, Article 1; Title 13, Division 3, Chapter 4, Article 2; or Title 13, Division 3, Chapter 9, Article 7, other than an aftermarket catalytic converter, aftermarket diesel particulate filter, or an aftermarket fuel tank
- 3 Fee Types
 - ✓ Base (slide 19)
 - ✓ Small Business (slides 20-22)
 - ✓ Category I or Category VIII

Fee Type

Category I or Category VIII

- “Category I” defined in section III, subsection (a)(1) and “Category VIII” defined in section III, subsection (a)(8) of Procedures For Exemption of Add-On and Modified Part(s) For On-Road Vehicles/Engines, incorporated by reference in Title 13, section 2222, subsection (e)
 - ✓ <https://ww2.arb.ca.gov/sites/default/files/barcu/board/15day/ampts/proceduresfinal.pdf>

How do I know my application is a Category I?

- The device has been previously issued an exemption EO, and
- It is only requesting one of the following actions:
 - ✓ Adding part number(s)
 - ✓ Changing device name(s)
 - ✓ Adding model-years for carryover vehicle(s) or engine(s)
 - ✓ Modifying or adding private label(s)
 - ✓ Extending coverage for subsidiaries
 - ✓ Consolidating previously issues exemption EOs

How do I know my application is a Category VIII?

- Includes an add-on or modified part that is described on the Category VIII list in section III, subsection (a)(8) of Procedures For Exemption of Add-On and Modified Part(s) For On-Road Vehicles/Engines

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Aftermarket fuel tank		
2					Base	
3					Small Business	
4					Category I	

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the Fee Type.

Aftermarket Fuel Tank Category and Fee Types

- Subject to the requirements in Title 13, Division 3, Chapter 4, Article 2 or Title 13, Division 3, Chapter 1, Article 3
- 3 Fee Types
 - ✓ Base (slide 19)
 - ✓ Small Business (slides 20-22)
 - ✓ Category I (slides 28-29)

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Alternative fuel retrofit system		
2					Base	
3					Small Business	
4					Zero-Emission	

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the Fee Type.

Alternative Fuel Retrofit System Category and Fee Types

- Designed to convert a motor vehicle to use a fuel other than the original certification fuel, or to convert a motor vehicle for emission reduction credit, or to convert a hybrid electric vehicle to an off-vehicle charge capable hybrid electric vehicle; subject to the certification requirements in Title 13, Division 3, Chapter 1, Article 5 or Title 13, Division 3, Chapter 9, Article 7
- 3 Fee Types
 - ✓ Base (slide 19)
 - ✓ Small Business (slides 20-22)
 - ✓ Zero-Emission

Fee Type

Zero-Emission

- Certifies a mobile source technology that inherently produces zero exhaust emissions of any criteria pollutant (or precursor pollutant) or greenhouse gas
 - ✓ Excluding emissions from air conditioning systems, under any possible operational modes or conditions

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Alternative fuel retrofit system extension	Base	\$0
2						
3						
4						

- Applications that meet the alternative fuel retrofit system extension should be submitted to the applicable New Vehicle Certification section for processing.

Alternative Fuel Retrofit System Extension Category and Fee Type

- Comply with the requirements in Title 13, section 2030, subsection (c) or Title 13, section 2031, subsection (c)
- Applications that are only doing an alternative fuel retrofit system extension and are submitted **within 30 days** of the issuance of the new vehicle or engine certification will not require a certification fee payment
- If the 30-day period has lapsed, the Alternative Fuel Retrofit System Category Type application will have to be submitted to pay a fee.

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Experimental permit		
2					Base	
3						
4						

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the Fee Type.

Experimental Permit Category and Fee Type

- Applications for testing of experimental motor vehicle pollution control devices installed in used motor vehicles, or for the testing of experimental or prototype motor vehicles which appear to have very low emission characteristics, in accordance with California Health and Safety Code section 43014
- 1 Fee Type
 - ✓ Base (slide 19)

Application Information

Payment Row Number	Product Description	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1				Motorcycle critical emission control part		
2					Base	
3					Small Business	
4					Carry-Over	

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the Fee Type.

Motorcycle Critical Emission Control Part Category and Fee Types

- Applications for any “aftermarket critical emission control part” for use on highway motorcycles in California, defined in the “California Evaluation Procedures for Aftermarket Critical Emission Control Parts on Highway Motorcycles,” incorporated by reference in Title 13, Division 3, Chapter 4, Article 2, Section 2222
- 3 Fee Types
 - ✓ Base (slide 19)
 - ✓ Small Business (slides 20-22)
 - ✓ Carry-Over

Fee Type Carry-Over

- Identical to the previous model year's application that resulted in the issuance of a certification except for one or more of the following:
 - ✓ Model year
 - ✓ Test Group, Engine family, Vehicle family, or Evaporative family names
 - ✓ Applicant contact information
 - ✓ Projected sales data
 - ✓ Portions that pertain to compliance with OBD requirements set forth in sections 1968.2 and 1971.1

Submit Draft Invoice to CARB

- Save draft invoice for your records
- E-mail draft invoice to: MSCertFees@arb.ca.gov
 - ✓ E-mail Title: "MSF New Invoice Request (**AMP**)"
 - ✓ E-mail each draft invoice in a SEPARATE e-mail
- In 1-2 business days, CARB staff will return your e-mail with a pdf of the "Final" invoice with the invoice number and date applied.
- **DO NOT pay your fees** until **5 business days** after receipt of your Final invoice

Step 3: Payment Process

- Wait **5 business** days after receiving your Final invoice
- Include your invoice number with your payment
- Go to: <https://ww2.arb.ca.gov/payments>
- Send an e-mail to **assigned** Aftermarket Part staff once your payment has been made. Include your invoice number and amount.

Fees to be submitted at the time of application submittal

- Fee received = Application review begins
 - ✓ You will receive an email from staff stating that your application is “Accepted for Filing”
- Delays in processing your application will occur if your application does not qualify for the Fee Type.
 - ✓ Correct payment will be due before application reviews begin.
 - ✓ Base type fee is standard for most applications.

Step 4: Submittal of Application(s) with Final Invoice

- Submit the “Final invoice” with each application listed on the invoice by e-mailing AMPES@arb.ca.gov in SEPARATE e-mails; i.e., one application per e-mail with the Final invoice attached to each e-mail.
 - ✓ Title each subject line with your company name and the corresponding Unique Application Identifier you generated (slides 12-14).

For more information

- Contact **assigned** Aftermarket Parts staff:
trina.pendon@arb.ca.gov
- Go to our website:
ww2.arb.ca.gov/mobile-source-certification-and-compliance-fee-regulation-meetings-workshops
- E-mail MSCertFees@arb.ca.gov
 - ✓ Include in your e-mail header the nature of your inquiry and your Exemption Group (**AMP**) in parentheses.