



# **Mobile Source Certification Fees Diesel Emission Control Strategies, other than Locomotive, Invoicing Process**

Tutorial

# Mobile Source Certification Fee Regulation

- Legislative Authority: Senate Bill 854 (2018) and Senate Bill 85 (2019)
- Regulation Adopted April 2021, approved by OAL on 1/18/2022.
  - Fees at the time of application
  - 35 regulatory categories organized into six groups
  - [ww2.arb.ca.gov/rulemaking/2021/mobilesourcefee2021](http://ww2.arb.ca.gov/rulemaking/2021/mobilesourcefee2021)
- Effective Date April 1, 2022

# MS Fee Invoicing and Payment Process

Step 1: FI\$Cal account

Step 2: Invoicing

Step 3: Payment Process

Step 4: Submission of Payment Documents with Application

For more details, please refer to the Overview Workshop presented on March 8, 2022

<https://ww2.arb.ca.gov/mobile-source-certification-and-compliance-fee-regulation-meetings-workshops>

# Step 2: Invoicing Process

- Create a draft invoice
- E-mail Draft Invoice to [MSCertFees@arb.ca.gov](mailto:MSCertFees@arb.ca.gov)
  - ✓ E-mail Title: "MSF New Invoice Request (DECS)"
  - ✓ E-mail each form in SEPARATE e-mails
- CARB staff creates and e-mails back a Final invoice with a unique invoice number to include with your payment information.

# **Creating a Draft Invoice**

**Verification Procedure, Warranty and in-Use Compliance  
Requirements for in-Use Strategies to Control Emissions  
from Diesel Engines  
(Diesel Emission Control Strategies or DECS)  
other than Locomotive Category**

# Draft Invoice can be found at: <https://ww2.arb.ca.gov/mobile-source-certification-and-compliance-fee-regulation-meetings-workshops>



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## Mobile Source Fee Implementation Resources (Currently Not Available, Updated Soon)

How do I pay my fees?

Focused Grouped Category Tutorials:

[On-Road](#) [Off-Road/Evaporative](#) [Aftermarket](#) [DECS/At-Berth](#)

Draft Invoice Forms:

[On-Road](#) [Off-Road](#) [Evaporative](#) [Aftermarket](#) [DECS](#) [At-Berth](#)

Mobile Source Certification and Compliance Fee Payment Form For Diesel Emission Control Strategy Verifications and Approvals Calendar Year 2022 Applications Only						
Invoice Number:		Invoice Date:				
Company Information (input by manufacturer)						
Company Name						
Address						
City, State, Zip						
Country						
Contact Name						
Contact Phone Number						
Contact E-mail						
FI\$Cal Account Number						
Payment Row Number	Product Description or file name	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1						
2						
3						
4						

# Company Information

Mobile Source Certification and Compliance Fee Payment Form

For Diesel Emission Control Strategy Verifications and Approvals Calendar Year 2022 Applications Only

Invoice Number: CARB Use Only

Invoice Date: CARB Use Only

Company Information (input by manufacturer)

Company Name

Address

City, State, Zip

Country

Contact Name

Contact Phone Number


Contact E-mail

FI\$Cal Account Number

Use the company name, company address, and contact name and information associated with the application


# Company Information

Mobile Source Certification and Compliance Fee Payment Form				
For Diesel Emission Control Strategy Verifications and Approvals Calendar Year 2022 Applications Only				
Invoice Number: CARB Use Only		Invoice Date: CARB Use Only		
Company Information (input by manufacturer)				
Company Name		Use the FI\$Cal account number supplied to you by CARB. The parent company name, address, and payment contact may be different in the FI\$Cal account than in the application contact.		
Address				
City, State, Zip				
Country				
Contact Name				
Contact Phone Number				
Contact E-mail				
FI\$Cal Account Number				





# Application Information

Payment Row Number	Product Description or file name	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1			Each row represents ONE "DECS" application			
2						
3						
4						
5						
6						

- Each row represents an application on which a payment is required. If no payment is required, no invoice should be filled out by applicants.
- For the "Diesel emission control strategy – other than locomotive" category, no fees are required on an entity holding and identified in a valid Executive Order or conditional verification for a diesel emission control strategy for verification activities related to the product directly covered by an Executive Order or conditional verification that was [issued prior to April 1, 2022](#). [Title 13, California Code of Regulations, Section 2912]

# Application Information

- An example of a situation where **NO FEES APPLY**:
  - ✓ A company holds and is identified in a valid Executive Order for a diesel emission control strategy issued prior to April 1, 2022
    - ✓ That company submits an application for verification activities related to the exact product directly covered by that Executive Order
    - ✓ The submittal is an in-use compliance emissions testing plan for said product meeting all of the aforementioned requirements
- An example of a situation where **FEES ARE REQUIRED**:
  - ✓ A company holds and is identified in a valid Executive Order for a diesel emission control strategy issued prior to April 1, 2022
    - ✓ The company submits an application for verification of a different product

# Application Information

## Product Description/Calendar Year

Payment Row Number	Product Description or file name	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	Preliminary application of Product X for stationary emergency standby power generation	2022				
2	Final application of Product Y for 1993-2006 model year on-road	2022				
3						

Product Description or File name:

DECS application + product name + DECS category

Example 1: Preliminary application of Product X for stationary emergency standby power generation

Example 2: Final application of Product Y for 1993-2006 model year on-road

Calendar Year:

Provide the year in which the application is submitted, such as 2022

# Application Information

## Unique Application Identifier

Payment Row Number	Product Description or file name	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	Preliminary application of Product X for stationary emergency standby power generation	2022	DE-STA-N-ABA-001-0			
2	Final application of Product Y for 1993-2006 model year on-road	2022	DE-ONR-N-ABB-002-09-14			

- Unique Application Identifier is explained on the next slide
- Manufacturers who already hold verifications have already been assigned a 3-letter “Manufacturer Code” to identify their company. This code is used in the Unique Application Identifier. If you do not already hold a verification and have not been assigned a code, please contact [milad.pirhadi@arb.ca.gov](mailto:milad.pirhadi@arb.ca.gov) to obtain a 3-letter code to identify your company.

# Application Information

## Unique Application Identifier

### Unique Application Identifier:

DECS – other than locomotive: **DE-CAT-Y-MAN-000-X**

Where:

**DE** = Executive Order series group under title 13, CCR, Sections 2700-2711 for DECS

**CAT** = Category, which would be:

ONR = On-Road      OFF = Off-Road      APU = Auxiliary Power Unit      STA = Stationary  
RTG = Rubber Tired Gantry Crane      TRU = Transport Refrigeration Unit      MAR = Harbor craft marine

**Y** = Calendar year code of year submitted (e.g., for 2022, Y=N consistent with engine family lettering)

**MAN** = Manufacturer code (e.g., ABC = Alphabet, Inc.)

**000** = Ordinal application/submittal received from that manufacturer in the calendar year (e.g., 004 = 4th application/submittal received in 2022)

**X** = Extension, which is 0 if it is a new application (e.g., DE-ONR-N-ABC-004-0), or the existing EO number if it is a modification or other submittal regarding an existing verification (e.g., DE-ONR-N-ABC-004-09-014), where 09-014 is the existing EO number)

# Application Information

## Category Type

Payment Row Number	Product Description or file name	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1						
2						
3						
4						
5						
6						
7						
8						

### Category Type (Drop Down menu):

The DECS category has multiple category types based on the application process and implementation.

## Diesel Emission Control Strategy (other than locomotive) Category Types

Preliminary Application Fee for New Verifications or Major Modification

In-Use Compliance Field Testing Fee

Final Application Fee for New Verifications or Major Modification

In-Use Compliance Emissions Testing Fee

Executive Order Fee for New Verification or Major Modification

Minor Modification Fee

# Preliminary Application Fee for New Verifications or Major Modification

Title 13, California Code of Regulations, Section 2911

- “Any fee paid at the submittal of a preliminary verification application pursuant to Title 13, section 2702, which starts the “diesel emission control strategy verification – other than locomotive” procedure for new verifications and modifications of existing verifications that are major modifications or for modification of existing conditional verifications that are major modifications. Although all applicants submit a Preliminary Application, this fee only applies to new applications and to major modifications.”



# Final Application Fee for New Verifications or Major Modification

Title 13, California Code of Regulations, Section 2911

- “Any fee paid at the first submittal of data to be included in Final Application report to the California Air Resources Board, pursuant to Title 13, section 2702, as part of the “diesel emission control strategy verification – other than locomotive” procedure. This fee only applies to new verifications and to major modifications.”

# Executive Order Fee for New Verification or Major Modification

Title 13, California Code of Regulations, Section 2911

- “Any fee paid upon the California Air Resources Board’s approval of the Final Application prior to issuance of the Executive Order. This fee only applies to new verifications and to major modifications.”

# In-Use Compliance Field Testing Fee

Title 13, California Code of Regulations, Section 2911

- “In-use compliance, as described in Title 13, section 2709, requires field testing when certain conditions are met (Title 13, section 2709, subsection (a).) The “in-use compliance field testing fee” is paid upon submittal of the in-use compliance field testing proposal to the California Air Resources Board (Title 13, section 2709, subsection (d)).”

# In-Use Compliance Emissions Testing Fee

Title 13, California Code of Regulations, Section 2911

- “In-use compliance requirements, as described in Title 13, section 2709, require emission testing when certain conditions are met (Title 13, section 2709, subsection (a).) The “in-use compliance emissions testing fee” is paid upon submittal of the in-use compliance emissions testing proposal to CARB (Title 13, section 2709, subsection (d)).”

# Minor Modification Fee

Title 13, California Code of Regulations, Section 2911

- “Any fee paid at the submittal of a preliminary verification application pursuant to Title 13, section 2702, as described in the “diesel emission control strategy verification – other than locomotive” procedure for modification of existing verifications that are minor modifications, or for modification of existing conditional verifications that are minor modifications.”

# Application Information

## Fee Types

All DECS fee category types have two fee types:

- ✓ Base
- ✓ Small Business

Payment Row Number	Product Description or file name	Calendar Year	Unique Application Identifier: File Name (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1						
2					Base	
3					Small Business	
4						

### Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the fee type. Base type fee is standard for most applications.

# Fee Type

## Small Business

- Defined in California Government Code section 11346.3, subdivision (b)(4)(B) and Title 13, California Code of Regulations, section 2901:
  - ✓ Must be independently owned and operated; and
  - ✓ Must have 100 or fewer employees
- Companies claiming the small business fee must sign an attestation to being a small business.
- CARB may request proof that a company meets all of the requirements of a small business

# Submit Draft Invoice to CARB

- Save draft Invoice for your records
- E-mail draft invoice to: [MSCertFees@arb.ca.gov](mailto:MSCertFees@arb.ca.gov)
- E-mail Title: "MSF New Invoice Request (DECS)"
- In 1-2 business days, CARB staff will return your e-mail with a pdf of the Final invoice with the invoice number and date applied.
- **DO NOT pay your fees** until **5 days after receipt** of your Final invoice



# Step 3: Payment

- Wait 5 business days after receiving your Final invoice
- Include your invoice number with your payment
- Go to: <https://ww2.arb.ca.gov/payments>
- Send an e-mail to your CARB staff contact to communicate that your payment has been made. Include your invoice number and amount.

# Staff Contacts

- Stationary, marine, TRUs, and RTG Crane DECS:

Milad Pirhadi, Freight Approvals Section  
([milad.pirhadi@arb.ca.gov](mailto:milad.pirhadi@arb.ca.gov))

- On-road, off-road, and APU DECS:

Mark Schuy, Aftermarket Diesel and Off-Road Section  
([mschuy@arb.ca.gov](mailto:mschuy@arb.ca.gov))

# Fees to be submitted at the time of application

- Fee received = Application review begins
- Corrected payment will be due before application reviews begin. Delays in processing your application will occur if your application does not qualify for the fee type. Base type fee is standard for most applications.

# Step 4: Submission of Final Invoice with Application/s

- Final Invoice with Application/s shall be submitted to the following email addresses:

[VDECS.submission@arb.ca.gov](mailto:VDECS.submission@arb.ca.gov)

# For more information

- Contact your **assigned** verification staff
- Go to our website:  
[ww2.arb.ca.gov/mobile-source-certification-and-compliance-fee-regulation-meetings-workshops](http://ww2.arb.ca.gov/mobile-source-certification-and-compliance-fee-regulation-meetings-workshops)
- E-mail [MSCertFees@arb.ca.gov](mailto:MSCertFees@arb.ca.gov)
  - ✓ Include in your e-mail header the nature of your inquiry and your category type (**DECS**) in parenthesis.